



PATENTS

Modified PTO 1082  
For Other Than A Small Entity

Attorney Docket No. CF-82

Applicants : Howard W. Lutnick and Michael Sweeting  
For : SYSTEMS AND METHODS FOR IMPROVING THE LIQUIDITY  
: AND DISTRIBUTION NETWORK FOR ILLIQUID ITEMS

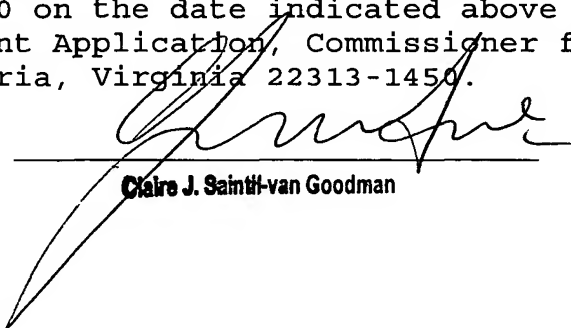


EXPRESS MAIL CERTIFICATION

"Express Mail" mailing label number EV132187154US

Date of Deposit July 8, 2003

I hereby certify that this transmittal letter and the other papers and fees identified in this transmittal letter as being transmitted herewith are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and are addressed to Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.



Claire J. Saint-Hilvan Goodman

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

TRANSMITTAL LETTER FOR  
ORIGINAL PATENT APPLICATION

Sir:

Transmitted herewith for filing are the ☒ specification;  
☒ claims; ☒ abstract; ☒ unexecuted declaration and power of  
attorney; ☒ Nonpublication Request Under 37 C.F.R. § 1.213(a), for  
the above-identified patent application.

Also transmitted herewith are:

Three (3) sheets of:

- ☒ Formal drawings.
- ☐ Informal drawings. Formal drawings will be filed during the pendency of this application.

☐ An assignment of the invention to \_\_\_\_\_

☐ A check in the amount of \$40.00 to cover the recording fee.

☐ Please charge \$40.00 to Deposit Account No. 06-1075 in payment of the recording fee. A duplicate copy of this transmittal letter is transmitted herewith.

☐ An associate power of attorney.

☐ A certified copy of the priority document, \_\_\_\_\_  
Application No. \_\_\_\_\_, filed \_\_\_\_\_.

The filing fee has been calculated as shown below:

FOR	NUMBER FILED				NUMBER EXTRA		RATE	FEE	
BASIC FEE								\$	750.00
TOTAL CLAIMS	51	-	20	=	31	x	\$ 18	= \$	558.00
INDEPENDENT CLAIMS	9	-	3	=	6	x	\$ 84	= \$	504.00
<input type="checkbox"/> A MULTIPLE DEPENDENT CLAIM							+ \$280	= \$	
TOTAL								\$	1,812.00

☒ A check in the amount of \$1,812.00 in payment of the filing fee is transmitted herewith.

☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 C.F.R. § 1.16 in connection with the paper(s) transmitted herewith, or credit any overpayment of same, to Deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.



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PATENTS  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION


Applicants : Howard W. Lutnick and Michael Sweeting  
Filed : Herewith  
For : SYSTEMS AND METHODS FOR IMPROVING THE  
LIQUIDITY AND DISTRIBUTION NETWORK FOR  
ILLIQUID ITEMS

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, V.A. 22313-1450

NONPUBLICATION REQUEST  
UNDER 37 C.F.R. § 1.213(a)

Sir:

Pursuant to 37 C.F.R. § 1.213(a), applicants hereby request that the above-identified patent application, which is being filed concurrently herewith, not be published under 35 U.S.C. § 122(b). It is hereby certified that the invention disclosed in the application has not been and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

  
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